

COMPLIANCE STATEMENT IN RELATION TO THE SHAREHOLDER RIGHTS DIRECTIVE II

Andurand UCITS ICAV (the “ICAV”) was established on August 17, 2016 as an open ended investment company with variable capital. The ICAV is an umbrella Irish Collective Asset management Vehicle with limited liability and segregated liability between sub-funds, registered and authorised by the Central Bank of Ireland (the “Central Bank”) to carry on business as an ICAV pursuant to Part 2 of the ICAV Act 2015 (the “ICAV Act”).

The ICAV has been authorised by the Central Bank as a UCITS pursuant to the European Communities (UCITS) Regulations 2011 and is in accordance with the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) (Undertakings for Collective Investment in Transferable Securities) Regulations 2015 (collectively the “Central Bank UCITS Regulations”). The ICAV was authorised by the Central Bank on January 19, 2017, currently having one sub-fund, namely the Andurand Fund (the “Sub-Fund”).

The Sub-Fund does not typically invest in external investee companies, including other UCITS and/ or AIFs, which have their registered office in an EU Member State and the shares of which are admitted to trading on a regulated market situated or operating within an EU Member State. In this regard, the ICAV has chosen at this stage not to implement a shareholder engagement policy pursuant to the requirements of Article 3(g) of Directive (EU) 2017/828, amending EU Shareholder Rights Directive (Directive 2007/36/EC) (collectively “the Directive”).

This statement will be reviewed at least annually.

Date Published: 2020